	Application Number \int_{1}^{1}	10/734,871								
TRANSMITTAL FORM	Filing Date	12/12/2003								
to be used for all correspondence after initial filing)	First Named Inventor									
MAY 1 0 2004 (1)	Group Art Unit									
MAY 1 0 2004 III	Examiner Name	Unassigned								
Total Number of This Submission 7	Attorney Docket umber	2002U006D1.U	S							
† References	Į.									
ENCLOSURES (deck all that apply)										
Fee Transmittal Form Preliminary Amendment / Response	Assigment Pape (for a Application		After Allowance Communication to Group							
Preliminary Amendment / Response After Final Affidavits/declaration(s)	☐ Draving(s) ☐ Licesing-related	Papers	Appeal Communication to Board of Appeals and Interferences							
Extension of Time Request Express Abandonment Request		Slip (PTO/SB/69)	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)							
Supplemental Information Disclosure Statement	TcConvert a Pro	visional	Proprietary Information							
✓ 1449 Form✓ Copies of Cited References	fower of Attorne hange of Corre		Status Letter							
☐ Certified Copy of Priority Documents)	Address		Additional Enclosure(s) (please identify below):							
Response to Missing Part/ Incomplete	Terminal Disclair		Acknowledgment Postcard							
Application	Request for Refu	ınd								
Response to Missing Parts										
SIGNATU	REMARKS RE OF APPLICANT, ATTO	DRNEY, OR AGEN	T							
Firm Or Individual name Kevin M. Faulkner	In S	Registration N								
Signature # Au	~ VVI ~ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	un								
Date			·							
	CERTIFICATE OF MA	ILING								
I hereby certify having information and a reas United States Postal Service as first class ma Commissioner for Patents, P.O. Box 1450, Al	il in an envelope addres	sed to: Mail Stop	dence will be deposited with the Non-Fee Amendment, May 7, 2004							
Typed or printed name Tammy Hodges),									
Signature Danny	Hoders	Date	May 7, 2004							
	0		\mathcal{O}							

}



TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)

Attny. Docket No. 2002U006D1.US

In Re Application of: Holtcamp, et al.

U.S. Serial No. Filing Date Examiner Group Art Unit 10/734,871 December 12, 2003 Unassigned Unassigned

Address to:

Mail Stop Non-Fee Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

37 CFR § 1.97(b)

The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

37 CFR § 1.97(c)

The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

- 1. a Final Action under § 1.113,
- 2. a Notice of Allowance under § 1.311, or
- 3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

the fee as set forth in § 1.17(p), or
the following statement under § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

37 CFR § 1.97(d)

The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby requests consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.
☐ Legible copies of the references are enclosed.
 ☐ This application is a: ☐ Continuation / Divisional, ☐ Continuation-in-Part,
of U.S.S.N. 10/186,361, filed June 28, 2002. Copies of the cited references are ⊠ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.
The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to <u>Deposit Account Number 50-0589</u> . Kevin M. Faulkner Registration No. 45,427 Univation Technologies, L.L.C. 5555 San Felipe, Suite 1950 Houston, Texas 77056-2723 (713) 892-3729 Voice (713) 892-3687 Facsimile

SHEET 1 OF 4

S	ATION DISCLO TATEMENT ral sheets if nece DOCUMENT NUMBER 3,240,770	ssary)	FILING DAT 12/12/200 ATENT DOCUMENTS	Holtca	mp et al.	GROUP assigned	
*EXAMINER INITIAL AA	TATEMENT ral sheets if nece DOCUMENT NUMBER	U.S. PA	12/12/200	Holtca E	mp et al.		
*EXAMINER INITIAL AA	DOCUMENT NUMBER	U.S. PA	12/12/200	Ē			1
*EXAMINER INITIAL AA	DOCUMENT NUMBER	U.S. PA	12/12/200		Un		1
INITIAL AA	NUMBER			3	Un	assigned	1
INITIAL AA	NUMBER		ATENT DOCUMENTS				
INITIAL AA	NUMBER	DATE					
	3,240,770		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIAT	
AB	1	03/15/1966	de Maldé, et al.	260	88.2		
	4,434,313	02/28/1984	Langer, Jr.	585	527		
AC	2001/0025115	09/27/2001	Campbell, Jr. et al.	556	7		
AD							
		FOREIGN	PATENT DOCUMENTS	 }			
	DOCUMENT	PUBL.			TRANSL		LATION
	NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
AE	EP 0015763 A1	09/17/1980	Europe				
AF	EP 0155682 A2	09/25/1985	Europe				
AG	EP 0568151 A2	11/03/1993	Europe				
AH	WO 97/17379	05/15/1997	PCT			<u> </u>	
AI	WO 99/42467	08/26/1999	PCT				
AJ	WO 99/64476	12/16/1999	PCT				
Oï	THER DISCLOSUR	ES (Including A	author, Title, Date, Pertine	nt Pages of I	Publication, Etc	c.)	
AK			ported Catalysts for Poly EE AND TECHNOLOGY (1			•	25-48,
AL		7197 abstract	"Polymerization of .alp & JP54021391B (Kane				
EXAMINER			DATE CONSIDERED				

				ATTY, DOCKET	' NO.	SI	ERIAL NO.			
INFORMATION DISCLOSURE STATEMENT			2002U006D1.US Unassigned							
			APPLICANT							
				Holtcamp et al.						
(Use several sheets if necessary)			FILING DATE		GROUP					
				12/12/200	12/12/2003			Unassigned		
			U.S. P	ATENT DOCUMENTS						
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING IF APPRO			
	BA									
	ВВ									
			FOREIGN	PATENT DOCUMENT	'S					
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSI	LATION NO		
	BC	WO 00/20426	04/13/2000	PCT			20			
	BD	WO 00/29454	05/25/2000	PCT				*		
	BE	WO 00/35973	06/22/2000	PCT			X US 6486277			
	BF	WO 01/09207	02/08/2001	PCT						
	BG	WO 01/23442	04/05/2001	PCT						
	ВН	WO 01/38270	05/31/2001	PCT						
	BI	WO 01/62764	08/30/2001	PCT						
	ОТ	HER DISCLOSUR	ES (Including A	Author, Title, Date, Pertin	ent Pages of	Publication, E	Etc.)			
	BJ	BJ Péron, Guillaume L. N. et al, "Syndio-rich poly(methyl methacrylate) from the anionic polymerisation of methyl methacrylate in the presence of novel organoaluminium amides" J. MATER. CHEM, 11, 2915-2918 (2001).								
	BK	Abstract: Doi, Yasushi: "Catalyst for olefin polymerization" retrieved from STN Database accession no. 130:282491, abstract & JP 11080224 (Mitsui Chemicals Inc., Japan) 26 March 1999.								
	BL	Abstract: Zheng, Ronghui et al, "Modification effect of electron donors on supported catalyst for propylene polymerization" retrieved from STN Database accession no. 108:38484 ((Shiyou Huagong, (1987), 16(10), 686-91)).								
EXAMINER DATE CONSIDERED										
*EXAMINER:				ot citation is in conformance			though citati	on if not in		

				ATTY. DOCKET	NO.	SI	ERIAL NO.		
		2002U006D1.US Unassigned							
INFORMATION DISCLOSURE STATEMENT			APPLICANT						
				Holtcamp et al.					
(Use	e sever	al sheets if nece	ssary)	FILING DATE	FILING DATE		GROUP		
				12/12/200	12/12/2003		Unassigned		
			U.S. PA	ATENT DOCUMENTS					
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
	CA							•	
	СВ		, , ,	•					
			FOREIGN	PATENT DOCUMENTS	S				
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANS	SLATION	
					CLASS	DODEDAGO	YES	NO	
	СС	WO 01/83447	11/08/2001	PCT					
	CD	WO 02/08303	01/31/2002	PCT					
	CE	WO 02/074779	09/26/2002	PCT					
	CF	WO 02/102811	12/27/2002	PCT					
	CG	WO 02/102863	12/27/2002	PCT					
	СН	WO 03/064433	08/07/2003	PCT					
	OT	HER DISCLOSUR	ES (Including A	author, Title, Date, Pertine	nt Pages of	Publication, E	tc.)		
W. W	CI	Abstract: Kama from STN Data November 1969	base accession	t al: "Catalyst for polyn no. 73:4358 abstract &	nerization : JP44027	<i>of .alphaole</i> 734B (Toyo F	efins" retri Rayon Co.	eved , Ltd.) 17	
	CJ	Abstract: Kamaishi, Tadami et al: "Polymerization of .alphaolefin" retrieved from STN Database accession no. 71:92059 abstract & JP44013148B (Toyo Rayon Co., Ltd.) 12 June 1969.							
	CK	Abstract: Kamaishi, Tadami et al: "Crystalline polyolefins" retrieved from STN Database accession no. 71:22482, abstract & JP44006828B (Toyo Rayon Co., Ltd.) 25 March 1969.							
	CL	Abstract: Kamaishi, Tadami et al: "Polypropylene" retrieved from STN Database accession no. 70:88432, abstract & JP43029756B (Toyo Rayon Co., Ltd.) 20 December 1968.							
	СМ								
EXAMINER				DATE CONSIDERED					
*EXAMINER:				ot citation is in conformance			though citat	ion if not in	

ļ		ATTY. DOCKET NO.		SERIAL NO.				
		2002U006D1.US Unassigned						
INFORMATION DISCLOSURE STATEMENT		APPLICANT						
				Holtca	mp et al.			
(Use	sever	al sheets if nece	ssary)	FILING DA	FILING DATE GROUP			
			12/12/20	12/12/2003		Unassigned		
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	DA							
	DB							
			FOREIGN	PATENT DOCUMENT	ΓS			
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	TRANSL.		LATION
		NONBER	DATE	COUNTRY	CLASS	SOBCLASS	YES	NO
	DC						Į.	
	DD							
	DE							
	DF							
	OT	HER DISCLOSURI	ES (Including A	uthor, Title, Date, Pertir	nent Pages of	Publication, E	Etc.)	
	DG	LaPointe, Robert. E, et al: "New Family of Weakly Coordinating Anions", J. AM. CHEM. SOC 122, 39, 9560-9561, (2000).						
,	DH	Kehr, Gerald et	al: "(N-pyrrol)	$O(1)B(C_6F_5)_2$ -A New Or				eneration
	DI	of Group 4 Metallocene Cation Complexes", CHEM. EUR. J. 6(2), 258-266, (2000). Kehr, Gerald et al: "Protonation of the Heterocyclic Cp-Anion Equivalent [Pyrrolyl-						
	<i>D</i> .	B(C_6F_5) ₃]Li-Formation of a Useful Neutral Brønsted Acid for the Generation of Homogeneous Metallocene Ziegler Catalysts", Eur. J. INORG. CHEM. 2, 535-538, (2001).						
	DJ	Abstract: Atarashi, Yuji et al: "Catalysts for .alphaolefin polymerization" retrieved from STN						
		Database accession no. 67:64854, abstract & JP42006269B (Toyo Rayon Co., Ltd.) 14 March 1967.						
	DK							
EXAMINER				DATE CONSIDERED)			
*EXAMINER:				ot citation is in conformanc			though citati	ion if not in
	cor	formance and not con	sidered. Include	copy of this form with next	comment to ap	pplicant		